

FREEDOM OF ENTERPRISE

Your Quality Compliance Partner

i comply.

for freedom of enterprise

YOUR **KEY** TO FREEDOM OF ENTERPRISE



Ultimate freedom of enterprise requires flexible, innovative and high-performance compliance solutions. Including:

Unlimited access to high-quality, best-in-class compliance know-how, on a need-to-know basis.

Identification and integration of business opportunities in your compliance solutions.

Implementation of effective and focused compliance programs, as part of the corporate governance structure.

Replacement of rigid and formal approaches to compliance with proactive and innovative compliance models.

Significant reduction of regulatory costs.

icomply offers a comprehensive view and an integrated approach in supporting and consolidating the successful business development of our clients.



*To accept good advice is but
to increase one's own ability.*

Johann Wolfgang von Goethe

At icomply we are committed to providing superior compliance solutions designed to fit your company's needs. Our signature services cover all aspects of compliance pertaining to:

Private banking advisory processes and investment compliance, including suitability, asset management of collective investment schemes/fund management (CISA), trust business, money laundering prevention, "know your client" (KYC), cross-border issues, automatic exchange of information (AEOI), common reporting standards (CRS), data protection, QI and FATCA, investment banking, trading and sales (Market Conduct Rules), custody, clearing and settlement.

As consultant, developer, trainer, coach, expert and part-time compliance officer.

In projects, internal and regulatory investigations, implementation of new regulations, review of compliance processes and policy development.

We assist audit committees, line managers on all levels, internal control and legal and compliance functions.



**WITH OUR SERVICE
TO BEST-IN-CLASS
COMPLIANCE**

OUR COMPLIANCE APPROACH



The key to a successful compliance program is a comprehensive **compliance risk assessment**.

icomply's **end-to-end** approach integrates your business goals as well as your company's process environment.

All solutions are implemented as **sustainable processes**. This ensures full integration of icomply's solutions into the organization and a coherent internal control framework.

To leverage our strengths, we **cooperate with best-in-class service providers** in areas such as project management, forensic investigations, legal services, as well as IT services and intelligence.

WHY IS icomply SO **DIFFERENT?**



Founded in 2000, icomply offers a unique combination of in-depth knowledge and expertise:

Long-term front-line experience in large and mid-size banks and trust companies, with exceptional skills acquired in positions such as business manager/CEO, General Counsel and audit committee member/chairman.

Unique front-to-end compliance know-how includes expertise in tax matters, in investment banking, fund management and trust business.

Tried and trusted know-how in compliance for IT solutions and applications.

Proven senior advisors work personally alongside you.

Enthusiasm, passion and discipline drive us to find the best possible solution for you.

Thanks to our lean business model, our clients can rely on a swift response, even in the most competitive situations.

Your benefits:

Best-in-class compliance programs, accepted by regulators and auditors.

Our clients gain know-how and skills which enable them to deal with compliance and corporate governance issues with greater assurance and flexibility.

This enables them to develop their compliance programs independently, without further external assistance.

What you can expect from icomply in the way of security and added value for your business decisions: “We are totally committed to bringing our expertise in developing comprehensive and sustainable compliance solutions to the working partnership with our customers. This gives you the entrepreneurial freedom to seize opportunities and the security of keeping the tightest possible control of processes and risks.”



YOUR BENEFITS

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